

# **Education Savings Account Application**

Use this application to establish an Artisan Partners Funds Coverdell Education Savings Account. To transfer your Education Savings Account directly from another custodian, you must also complete an Education Savings Account Transfer Form (available on Artisan Partners' website). Do not use this form to establish any type of IRA or regular account. Instead please use respectively the IRA Application or the Individual Account Application available at https://www.artisanpartners.com/individual-investors/resources/applications-forms.html.

#### Important Information for Opening a New Account

Please complete this entire Education Savings Account Application, sign it and mail it and additional documentation, if applicable, to Artisan Partners Funds. Failure to complete all required sections will result in processing delays. Please retain a copy of the completed application for your records.

We encourage you to consult with your legal counsel and/or tax advisor in completing this form regarding the tax consequences and tax requirements of establishing an Education Savings Account.

Federal law requires financial institutions to obtain, verify and record identification information on each individual who opens an account to help prevent money laundering and terrorism funding activities. To invest, we require your name, address, date of birth and social security number.

#### For Regular Mail Delivery

Artisan Partners Funds P.O. Box 219322 Kansas City, MO 64121-9322

#### For Overnight Delivery

Artisan Partners Funds 430 W. 7th Street, Suite 219322 Kansas City, MO 64105-1407

Questions? Call 800.344.1770 or visit www.artisanpartners.com

## 1. Student Registration & Address (Please type or print clearly)

Do you have other Artisan Partners Funds accounts?	If yes, provide account number(s):	
Student's Name (First, Middle, Last)	Social Security Number/Tax Identification Number	Date of Birth (MM-DD-YYYY)
Street Address*		
City	State	Zip
Phone	Email	
<b>Note:</b> If street address above is a P.O. Box, a mailing address is required.		
Mailing Address		
City	State	Zip
*You must be a US resident with a US mailing address. If your mailing address is	a post office box, you must also provide a US residential address to invest	in Artisan Partners Funds.
Provide all of the requested information about the Student for whose Unless he or she is a Special Needs Student, the Student must be undecount, or under age 30 for an Education Savings Account establishments and Savings Account. (Check the box to the right if the Student is a Special Special Student in the Student is a Special Student in the Student in the Student is a Special Student in the Student in the Student is a Special Student in the Student i	nder age 18 for an Annual Contribution Education Savings olished with a Rollover or Transfer from another Education	Check box if student is a Special Needs Student.

# 2. Responsible Individual Information (Only one parent should be listed)

Provide the requested information about the Responsible Individual (must Account on behalf of the Student who has not yet reached the age of major majority. Only one person may be listed as the Responsible Individual in Part 2 year. If necessary, the Responsible Individual should check with any other that Student's behalf (from all sources) do not exceed the maximum limit.	ority in his state of residence. Leave blank if the 2. Note: Contributions benefiting a particular parent or guardian of the Student to ensur	ne Student has reached the age of r Student are limited to \$2,000 per
☐ Mother ☐ Father ☐ Guardian (If Guardian, submit proof of guardianship)		
Responsible Individual's Name One name only (First, Middle, Last)	Social Security Number	Date of Birth (MM-DD-YYYY)
Street Address*		
City	State	Zip
<b>Note:</b> If street address above is a P.O. Box, a mailing address is required.		
Mailing Address		
City	State	Zip
Phone	Email	
*You must be a US resident with a US mailing address. If your mailing address is a post office	box, you must also provide a US residential address to	invest in Artisan Partners Funds.
<b>Note:</b> The "Responsible Individual" is the same individual described as the "Re "RI" on all account registration materials and as the "Parent" in Article XI of the		
. Donor Information		
The Donor is the person making a contribution to the Education Savings Accontribution and selected the initial investments, the Donor has no further rig is the Student or Responsible Individual.		
If no Donor is making a contribution to the Education Savings Account (ir Education Savings Account), leave blank.	n other words, if the only contribution is a ro	ollover or transfer from an existing
☐ Check box if the Responsible Individual is the Donor and continue to Section 4.		
Donor's Name (First, Middle, Last)	Social Security Number	Date of Birth (MM-DD-YYYY)
Mailing Address (P.O. Box is not acceptable)		
City	State	Zip
Phone	Email	

# 4. Type of Education Savings Account

A. Annual Contribution Education Savings Account						
Annual Contribution  For contributions sent between January and April 15, indicate whether it is for current or preceding calendar year. This contribution does not exceed the maximum permitted amount as described in the Coverdell Education Savings Account Disclosure Statement.						
. Rollover Education Savings Account						
If this is a rollover or transfer of funds from an existing Education Savings Account, check the following box. Check the appropriate box to indicate whether the transaction is a rollover or direct transfer from another Education Savings Account custodian. (Note: You can only transfer or rollover amounts from another Education Savings Account. Transfers or rollovers from Traditional IRAs, Roth IRAs, an employer-sponsored plan, or any other similar arrangement are not permitted under federal law.) If this is a transfer directly from another custodian, complete the Education Savings Account Transfer Form.						
☐ Rollover or Transfer of Existing Education Savings Account						
☐ Transfer of existing Education Savings Account. Complete the Education Savings Account Transfer Form and return it with this form.						
Rollover of distribution from existing Education Savings Account to me within 60 days after distribution. The requirements for a valid rollover are complex. See the Coverdell Education Savings Account Disclosure Statement for additional information and consult your tax advisor for help if needed.						
If you are transferring or rolling over an existing Education Savings Account, check the box to indicate the relationship between the Student for whom this account is being opened and the person for whose benefit the transferring account was maintained. This can be the same Student or a family member.						
Same Person       □ Step Parent       □ Grandparent       □ Child of Sibling       □ Spouse of One of Foregoing         Parent       □ Child or Step Child       □ Sibling       □ First Cousin						

### 5. Investment Selection

Invest the contributions to my Education Savings Account as shown below. Use the column for dollar amounts if you are sending a check or wire, or transferring or exchanging a specific dollar amount. Use the percentage column if you are transferring assets or doing a rollover.

Funds noted with an asterisk (\*) are closed to most new investors. For more information, refer to the "Who is Eligible to Invest in a Closed Fund" section of the Fund's prospectus. A separate account will be established for each fund selected.

Artisan Partners Funds	Investor	Advisor	Institutional	Amount	or <b>Percentage</b>
Developing World	□ 2463	□ 2464	□ 2465	\$	%
Emerging Markets Debt Opportunities	□ 2483	□ 2484	□ 2485	\$	%
Floating Rate	□ 2480	□ 2482	□ 2481	\$	%
Focus	□ 2470	□ 2477	□ 2478	\$	%
Global Discovery	□ 2475	□ 2431	□ 2476	\$	%
Global Equity	□ 1676	□ 2479	□ 2466	\$	%
Global Opportunities	□ 1675	□ 2456	□ 1677	\$	%
Global Unconstrained	□ 2486	□ 2487	□ 2488	\$	%
Global Value	□ 1673	□ 2457	□ 1679	\$	%
High Income*	□ 2454	□ 2455	□ 2468	\$	%
International	□ 661	□ 2458	□ 662	\$	%
International Explorer		□ 2493	□ 2494	\$	%
International Small-Mid*	□ 1465	□ 2427	□ 2467	\$	%
International Value*	□ 1466	□ 2459	□ 1672	\$	%
Mid Cap	□ 962	□ 2460	□ 1333	\$	%
Mid Cap Value	□ 1464	□ 2461	□ 2450	\$	%
Select Equity	□ 2429	□ 2428	□ 2430	\$	%
Small Cap	□ 660	□ 2469	□ 2452	\$	%
Sustainable Emerging Markets	□ 1674	□ 2495	□ 1671	\$	%
Value	□ 1670	□ 2462	□ 1678	\$	%
Value Income	□ 2489	□ 2490	□ 2491	\$	%
Sub-total				\$	100%
Acceptance fee of \$5 per Fund account <sup>2</sup>				\$	
TOTAL AMOUNT				\$	100%

Select Share Class<sup>1</sup>

mo	ote: Artisan Partners Funds does not accept cash, drafts, iney orders, travelers checks, credit card payments, cred d checks, starter checks, third party checks or checks wn on non-US financial institutions.)
Wii	re \$
Dat	te of Wire:
US	ote: Wire transfers from a financial institution outside the will generally not be accepted. Please call 800.344.1770 wiring instructions.)
Ple	nsfer from another Education Savings Account ase complete Artisan Partners Funds Education vings Account Transfer Form
	change from my identically registered Artisan tners Funds Account Number:
	tomatic Investment Plan p minimum—Complete Sections 7 & 8)

#### Custodian Fees

Acceptance Fee: \$5 per Fund account. Annual Maintenance Fee: \$15 per Fund account (maximum \$30 per social security number). Termination, Rollover or Transfer of Account to successor Custodian: \$10 per Fund account.

<sup>&</sup>lt;sup>1</sup> Investor Shares minimum investment: \$1,000 per Fund. (No minimum investment is required in the Investor Shares if you select an **Automatic Investment Plan—Section 8**). Advisor Shares minimum investment: \$250,000 per Fund. Institutional Shares minimum investment: \$1,000,000 per Fund. If you do not meet the minimum investment threshold for the share class you selected, your investment will be placed in the appropriate share class with a lower minimum investment threshold. <sup>2</sup> If you do not include the \$5 per Fund account acceptance fee in your check or wire, it will be deducted from your investment.

## 6. Beneficiary Designation

I hereby make the following designation of beneficiary in accordance with the Coverdell Education Savings Account Disclosure Statement and Custodial Agreement:

(If Beneficiary is a minor, provide the minor's name, social security number, date of birth, street address and assign a custodian and provide custodian's name, social security number, date of birth and street address.)

In the event of the Student's death, pay any balance in the Education Savings Account to the following Beneficiary(ies) who survive(s) the Student. I revoke all prior Education Savings Account beneficiary designations, if any, made by me for these assets. Make payment in the percentages specified below (or in equal percentages (totaling 100%) if no allocations are specified). If any Beneficiary predeceases the Student, his or her share is to be divided among the Beneficiaries who survive(s) the Student in the relative proportions assigned to each such surviving Beneficiary.

			%
Beneficiary's Name (First, Middle, Last)	Relationship to Student		
Social Security Number/Tax Identification Number	Date of Birth (MM-DD-Y	YYY)	
Street Address (P.O. Box is not acceptable)			
City	State	Zip	
			%
Beneficiary's Name (First, Middle, Last)	Relationship to Student		
Social Security Number/Tax Identification Number	Date of Birth (MM-DD-Y	YYY)	
Street Address (P.O. Box is not acceptable)			
City	State	Zip	100%
If there is no designated Beneficiary living at the time any such unless otherwise required under the laws of my state of residence		nt shall be made to the Student's es	tate,

# 7. Account Options and Account Information

Telephone Options
Redemption By default, you are automatically enrolled in the telephone redemption option. If you wish to decline this option, check the box below.
$\square$ I do <u>not</u> want the telephone redemption option.
Purchase Check the box below to authorize the telephone purchase option (\$50 minimum; \$50,000 maximum).
☐ I want the telephone purchase option.  Your purchase will be effected on the business day of your call, if you call before the time as of which the Fund calculates its Net Asset Value (NAV), or on the next business day after your call if you call after the time as of which the Fund's NAV have been calculated for the day. You must provide bank account information in Section 7 and attach a voided check to request this option.
Electronic Documents
Website Access available at www.artisanpartners.com  Offering shareholders easy-to-use, 24 hours a day, 7 days a week access to Fund and account information. You can obtain a prospectus, additional applications and more. Additionally, once your account has been established, online Account Access can provide you with immediate, secure access to your account information.
Consent to E-Delivery
Reduce clutter in your mailbox and help the environment by signing up for E-Delivery. Indicate if you would like to receive your statements and other important documents online. You will receive a notification to the email address on record informing you that the documents are available for viewing online. You can change this election at any time. Confidential account information will not be sent via email.
☐ All Available Documents
OR select document type(s):
☐ Fund Reports, Prospectus, Privacy Statements, Proxies and Special Announcements
☐ Account Statements
Confirmations
☐ Tax Forms
Escheatment
If no activity occurs in your account within the time frame specified by applicable state law, your account may be transferred to the appropriate state. This is called escheatment. Escheatment laws vary state by state. We strongly encourage you to contact us via phone or log into your account at least once per year.

## E

lf It is also important that you inform the Funds promptly of any changes to your account.

# 7. Account Options and Account Information (continued)

	rire instructions for your U			it can accept incoming ACH tran nk statement. Your bank also ma	
Name of US Bank					
Street Address					
US Bank Routing Number			_		
Account Name					
Account Number			_		
	nk account identified abo	ove. This authorization	on will remain in effect until	lebit entries (withdrawals) and a I notify Artisan Partners Funds ii	
	rs Funds to release any ir		is account (including but n and account statements to 	ot limited to share balance, ma o:	rket value and transaction
Firm			Firm		
Street Address or P.O. Box			Street Address or P.O. E	Зох	
City	State	Zip	City	State	Zip
Contact Name (3)			Contact Name (4)		
Firm			Firm		
Street Address or P.O. Box			Street Address or P.O. E	Зох	
City	State	Zip	City	State	Zip
*Attach a separate list for	additional interested par	ty addresses.			

## 8. Automatic Investment Plan (AIP)

Establish auton	natic investments in	your account(s) throu	gh deductions from your l	bank account. (\$50.00	minimum investment re	equired per month)
Frequency:	$\square$ Monthly	☐ Quarterly	☐ Semi-annually	☐ Annually		
Fund Name			Amount		Start Date	Day(s) of Month
Fund Name			Amount		Start Date	Day(s) of Month
Fund Name			Amount		Start Date	Day(s) of Month
Complete Section 7, Bank Information, to establish an Automatic Investment Plan.						
An AIP normally becomes active 15 days after this form is processed. Designate a date between the 3rd and 28th day of the month only. If you are establishing an AIP and no start date is provided, the AIP will begin as soon as the option is established in accordance with the instructions provided. If no day or frequency is provided, investments will be made on or about the 15th business day of every month.						

## 9. Signature and Certifications

#### By signing this form, I certify that:

 $I have \ received \ and \ read\ the\ applicable\ sections\ of\ the\ "Artisan\ Partners\ Funds, Inc. /\ UMB\ Bank, n.a.\ Coverdell\ Education\ Savings\ Account\ Disclosure\ Statement"$ relating to this Education Savings Account (including the Custodian's fee schedule) and the Custodial Agreement. I acknowledge receipt of the Coverdell Education Savings Account Disclosure Statement and Custodial Agreement at least 7 days before the date inscribed below and acknowledge that I have no further right of revocation.

I have received the current Fund prospectus and agree to be bound to its terms and received Artisan Partners' Privacy Policy. I have full authority and legal capacity to purchase Fund shares for the benefit of the Student and establish and use any related privileges. I acknowledge that I have sole responsibility for my investment choices.

I consent to the delivery of a single "shared" copy of each prospectus and report to shareholders to me and all other shareholders who share my address. I understand that I may revoke my consent by calling Artisan Partners Funds at 800.344.1770 or by writing to Artisan Partners Funds.

If this is a Rollover Education Savings Account, the Donor certifies that any assets transferred in kind are the same assets received in the distribution being rolled over; that no other rollover from the transferring Education Savings Account has been made within the one-year period immediately preceding this rollover, and further that the assets being rolled over into this Education Savings Account were not previously rolled over into the transferring Education Savings Account within the one-year period immediately preceding this rollover; that such distribution was received within 60 days of making the rollover. to this Education Savings Account; and that the Student identified in Section 1 above is either the person for whose benefit the prior Education Savings Account was maintained or a member of such person's family (within the meaning of Internal Revenue Code Section 529(e)(2)).

If this is an Annual Contribution Education Savings Account, the Donor certifies that the Student is less than 18 years old or is a Special Needs Student and that all contributions made on Student's behalf to this or any other Education Savings Accounts do not exceed \$2,000 in a single tax year. If this is a Transfer or Rollover of an existing Education Savings Account, the undersigned certifies that the Student is less than 30 years old or is a Special Needs Student and that the relationship indicated in Section 4 is correct.

If this is a contribution from a corporate entity, the Donor represents that he/she has the requisite authority to sign this Application on behalf of such entity and that the establishment of the Education Savings Account and contribution thereto have been duly approved by all requisite corporate actions.

The undersigned acknowledges that adverse income tax consequences (including possible penalties) may apply for providing false or incorrect information and certifies that all information provided and certifications on this application are accurate and correct.

I agree that Artisan Partners, Artisan Partners Funds and any affiliate and/or any of their directors, employees and agents will not be liable for any loss, liability, tax cost, or expense for acting or refusing to act upon any written or telephonic instructions or inquiries received pursuant to any privileges, services or plans offered by the Funds and adopted by me, if such instructions or inquiries are reasonably believed to be genuine. Accordingly, I understand that I bear the risk of loss. I understand that any privileges, services, and/or plans offered by the Funds are subject to the terms and conditions set forth in the Artisan Partners Funds prospectus. This authorization and indemnification is a continuing one and the authorization shall remain in full force and effect until Artisan Partners Funds receives and has had a reasonable amount of time to act upon written notice from me. If an account has multiple owners, the Funds may rely on the instructions of any one account owner. The Funds may, in its sole discretion and for its own protection, require written authorization from all owners parties to act on the account for certain transactions (for example, to transfer ownership). I will review all statements upon receipt and will notify the Funds immediately if there is a discrepancy.

Each signature hereto acknowledges the responsibility to report all contributions to, or withdrawals from, the Education Savings Account correctly on the applicable tax returns, and to keep necessary records (including any that may be held by another custodian or trustee) for tax purposes. All forms must be acceptable to the Custodian and dated and signed by me. I acknowledge that this application and the Custodial Agreement are the primary documents controlling the terms and conditions of this Education Savings Account.

I understand and acknowledge that Artisan Partners Funds is required to comply with various anti-money laundering laws and regulations and may be required by law to freeze an account, transfer an account or proceeds of an account to a governmental agency, provide a governmental agency information about transactions that have occurred in an account or take such other action as may be required by law.

I will not place transactions in the Education Savings Account for the benefit of any person other than the designated Beneficiary or Beneficiaries of this account (except for a transfer of shares to another account), I acknowledge that if the Funds determine that I have permitted another person or entity who is not the designated Beneficiary or Beneficiaries of the account to purchase or redeem shares through my account, the Funds may reject future purchases in my account and any related accounts.

Taxpayer Identification Number Certification					
certify under penalties of perjury:					
☐ I am a US citizen ☐ I am a resident alien					
I certify that under penalties of perjury that: (1) the Social Security Number or Taxpayer Identification Number provided in Section 1 is correct and (2) I am not subject to backup withholding due to failure to report interest and dividend income.					
OR Control of the con					
I am a non-resident alien and certify under penalties of perjury that I am not a US citizen or resident alien, and that I am an "exempt foreign person" as defined under IRS regulations. I have attached a completed W-8BEN form and a copy of my government-issued ID as proof of my foreign tax status.					

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

### 9. Signature and Certifications (continued)

**Signatures Required** (Name as it appears above)

Student's Signature (If the Student has obtained the age of majority in his/her state of residence)

Date (MM-DD-YYYY)

Donor's Signature (Or Representative of Corporate Entity and Title)

Date (MM-DD-YYYY)

If Student is a minor under the laws of Student's state of residence, acceptance by the Custodian of the contribution to this account is expressly conditioned upon the agreement of the Responsible Individual to be responsible for all requirements of the Student under the documents governing the Education Savings Account, and to exercise the powers and duties of the Student, with respect to the operation of the account by signing below. Upon reaching the age of majority in the state in which the Student then resides, the Student may advise the Custodian in writing (accompanied by such supporting documentation as the Custodian may require) that he or she is assuming sole responsibility to exercise all powers and duties associated with the administration of the Education Savings Account. Absent such written notice by Student, Custodian shall have no responsibility to acknowledge Student's exercise of such powers and duties of administration.

Responsible Individual's Signature

Date (MM-DD-YYYY)

**CUSTODIAN ACCEPTANCE:** UMB Bank, n.a. will accept appointment as Custodian of the IRA owner's account. However, this Agreement is not binding upon the Custodian prior to the confirmation statement. Receipt by the Student of a confirmation of the purchase of the Fund shares indicated above will serve as notification of UMB Bank, n.a.'s acceptance of appointment as Custodian of the Account.

(Retain a photocopy of the completed agreement for your records.)



FACTS	WHAT DOES ARTISAN PARTNERS DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include:  Social Security number account balances, transaction history and assets checking account information and wire transfer instructions When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information, the reasons Artisan Partners chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does Artisan Partners share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes—information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share

Questions?

Call 800.344.1770

Who we are	
Who is providing this notice?	<ul> <li>Artisan Partners Limited Partnership and Artisan Partners         Distributors LLC and their affiliates     </li> <li>Pooled vehicles sponsored by Artisan Partners, including, without limitation, Artisan Partners Funds, Inc.</li> </ul>
What we do	
How does Artisan Partners protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.  Within Artisan Partners, access to information about you is restricted to those employees who need to know the information to service your account.
How does Artisan Partners collect my personal information?	
	<ul> <li>We collect your personal information, for example, when you</li> <li>open an account or give us your contact information</li> <li>make a wire transfer or provide account information</li> <li>make deposits or withdrawals from your account</li> </ul>
	We also collect your personal information from other companies.
Why can't I limit all sharing?	Federal law gives you the right to limit only  sharing for affiliates' everyday business purposes— information about your creditworthiness affiliates from using your information to market to you sharing for nonaffiliates to market to you
	State laws and individual companies may give you additional rights to limit sharing.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
	Artisan Partners Funds, Inc., Artisan Partners Distributors LLC, Artisan Partners Limited Partnership, Artisan Partners Asset Management Inc., Artisan Partners UK LLP and their affiliates may share information among each other.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
	<ul> <li>Artisan Partners does not share with nonaffiliates so they can market to you.</li> </ul>
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.  **Artisan Partners does not jointly market.**

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